SARATOGA SPINE

Dr. John C. Herzog
www.saratogaspine.com

Director of Spine Surgery at Saratoga Hospital

Hetal T. Amin, DO, Radka Dooley, MD,
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Saratoga Office
31 Myrtle Street
T:518.587.7746

Glens Falls Office
7 Murray Street
T: 518.587-7746

Plattsburgh Office
16 DeGrandpre Way Ste 100
T: 518.306.7255

INITIAL PATIENT VISIT:

Name: _____ DOB: _____
Address: _____
Age: ____ Sex: ____ Weight: _____ Height: _____
Phone: Home: _____Work: _____ Mobile: _____
Social Security Number:_____
Email address_____
Local Pharmacy name_____Address_____
Mail Order Pharmacy_____
Employer_____Occupation_____
Who referred you to Saratoga Spine?

Referring Physician Name Referring Physician Telephone #

Referring Physician Address City State Zip Code

Who is your Primary Care Physician? _____

Please describe your main problem/complaint:

CURRENT MEDICAL CONDITION:

Do you have: ___ Only Back Pain ____Back And Leg Pain ____ Only Leg Pain
___ Only Neck Pain ____Only Shoulder/Arm Pain
___ Neck, Shoulder and Arm Pain ___ Other _____

Which is worse: ___Back Pain ___Leg Pain ___ Neck Pain ____ Shoulder/Arm Pain

I have had back/neck pain: ____Less than 1 month ___1-3 Months ____ 3-6 Months ___ 6 Months- 1 Year
____1-3 Years ___3-5 Years ____ Greater than 5 Years

My pain came on: ____Gradually, over time ____Quickly

My pain was brought on by: ____No specific incident ____Following an accident or incident at work
____ Following an accident or incident **NOT** at work
Date of MVA/Injury: _____

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Patient name _____
Describe the accident/incident: _____
_____

Do you have: ____Numbness: Where_____
____Tingling: Where_____
____Weakness: Where_____

What time of the day is your pain worse ____Morning ___Late in the day ___The middle of the night

My Pain pattern is: ___A single attack of pain ___Attacks of pain with pain free intervals
___Continuous Pain ___Continuous pain with attacks of severe pain

I experience pain: ___The entire day ___A fair amount of the day (2-7 hours)
___Most of the day (16-20 Hours) ___A small amount of the day (1 hour or less)
___A good part of the day (8-15 Hours) ___Less than once a day

How long does the pain attack last: ____Seconds ___Minutes ___Hours ___Constant
For how long can you walk: ___ <15 minutes ____15-30 Minutes ___ 30-60 Minutes ___NO Restrictions
How long can you stand: ___< 15 minutes ___15-30 Minutes ___30-60 Minutes ___ NO Restrictions

What position/activity make the pain worse or better?

	Standing	Sitting	Walking	Stairs	Lying Down	Bending	Lifting	Coughing	Bowel Mov't	General Activity
Better:	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
Worse:	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____

Pain Rating Scale: How would you rate your pain today: **(Circle One Number)**

0 - 1 - 2 – 3 - 4 – 5 – 6 – 7 – 8 – 9 – 10
None Mild Moderate Severe Worst Possible Pain

Name, Date, and Location of office you have sought help for your pain: (check all that apply)

Family Doctor: _____
Orthopaedist: _____
Spine Surgeon: _____
Physical Therapist:_____
Chiropractor: _____
Pain Management: _____
Physiatrist:_____
Neurologist:_____
Psychiatrist/Psychologist: _____

Have any of the above treatments decreased your pain: ____ NO ____YES, describe below
_____
_____

Which medications do you take for your pain:
_____
My pain now seems to be: ___Getting better ____Staying the same ____Getting Worse
Have you noticed any change in your bowel or bladder habits?
____NO ____YES, Describe: _____

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Patient name _____
Has any other Surgeon recommend surgery? _____NO ____YES, who: _____
Have you had previous Spine Surgery?
___NO ___YES ⟶ When: ___/___/_____ Doctor:_____

TYPE OF SPINE SURGERY:

_____

_____

If you had previous spine surgery, did the surgery make the pain better: ____YES ___NO
Have or are you planning to apply for Disability or Worker's Compensation: ____YES ___NO
Is there a lawsuit or litigation pending in relationship to your pain? ____YES ___NO
Date of Injury: _____

REVIEW OF SYSTEMS:

Primary Reason for Today's Visit:

_____

Do you presently have any problems with the following areas? If YES, give explanation and date

Fever	____YES ____NO	Weakness of Upper or Lower extremities	__ YES___NO
Chills	____YES ____NO	Gait imbalance	___YES___NO
Weight loss	____YES ____NO	Dropping objects	___YES__ NO
H/O Falls	____YES____NO	Bowel or Bladder Incontinence	___YES___NO

Eyes(eye pain, vision loss)	____YES ____NO
Ears, Nose, Mouth, Throat:	____YES ____NO
Cardiovascular, (heart, blood vessels)	____YES ____NO
Respiratory (lungs/breathing)	____YES ____NO
Gastrointestional (stomach/intestines)	____YES ____ NO
Genitourinary (genitals/kidney/bladder)	____YES ____NO
Musculoskeletal (muscles/joints)	____YES ____NO
Integument (skin/breast)	____YES ____NO
Neurological	____YES ____NO
Psychiatric (depression, anxiety, bipolar, substance abuse)	____YES ____NO
Endocrine (hormones, glands)	____YES ____NO
Hematologic/Immunologic (blood)	____YES ____NO
Clot normally after blood?	____YES ____NO
Excessive bleeding?	____YES ____NO
Blood loss during surgery?	____YES ____NO
Seasonal Allergies (hay fever)	____YES ____NO

PAST MEDICAL HISTORY:

Check below if you have had any of the following:

_____ Heart Disease	_____ High Blood Pressure	____ Diabetes
_____Cancer	_____ Fibromyalgia	____ Tuberculosis
_____Migraine Headaches	_____ Hepatitis	____ Kidney Disease

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Patient Name _____

PAST SURGERIES: (Procedure and date):_____
_____

FAMILY HISTORY (Muscle or nerve problems, Diabetes, or Bleeding Disorders):
_____

CURRENT MEDICATIONS: (Dates started meds and include non-prescription)
_____
_____
_____

MEDICINE/SUBSTANCE/LATEX ALLERGIES: (Include reaction)

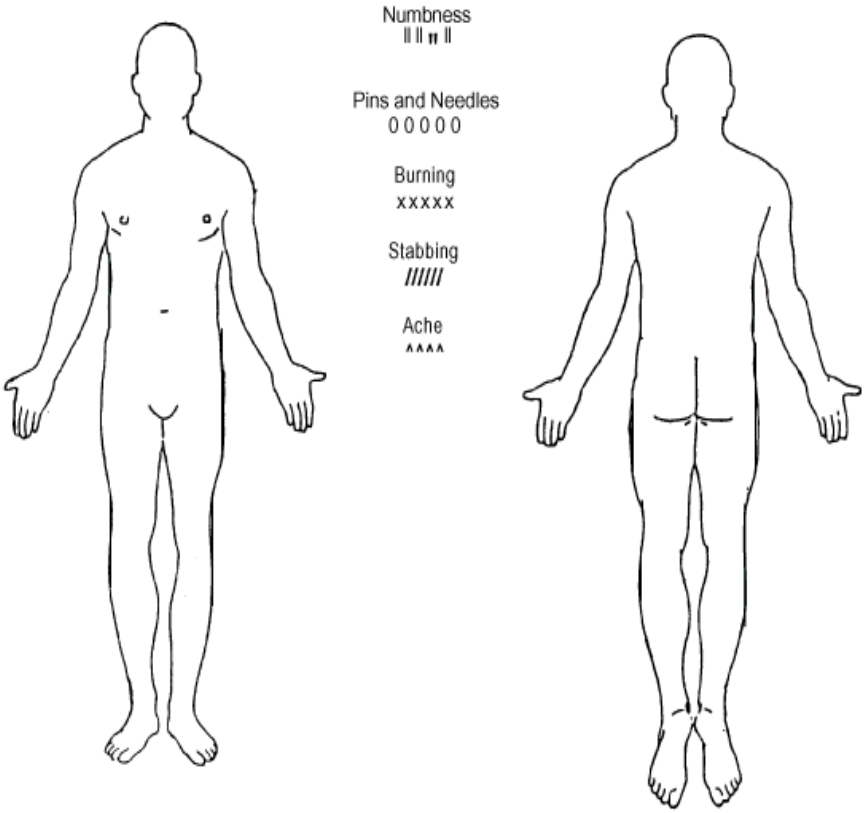
WORK STATUS:

Employer Name and Address_____

Occupation_____

Are you currently?	_____ Working Full Time	_____Working Part time
	_____ Unemployed	_____ Retired
	_____ Disabled, Temp	_____ Disabled, Perm
	_____ Housewife	_____ Other _____

If you are currently NOT working:
How long have you been off work due to your back/neck pain? _____



PAIN DIAGRAM:
Please use the following diagrams to show us where you are experiencing pain and numbness:

Please circle all of the following adjectives which describe your pain:

DULL BURNING COLD
SHOOTING ELECTRIC TINGLING
TIGHT THROBBING

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Patient name_____

SOCIAL HISTORY:

Marital Status: ___Single ___Married ___Divorced ___Separated ___Widowed

Highest Education Level Completed:
____ Grade school ____ High School ____ College, Technical ____ Graduate, Professional

Do you currently use Tobacco? ___Yes ___No _____ Started Age/Total years _____Stopped
Indicate quantity per day: Cigarettes____ Cigars____ Chewing Tobacco_____

Do you currently consume Alcohol? _____Yes _____No

Patients with known Scoliosis or Kyphosis, please complete the next section.

SCOLIOSIS/ KYPHOSIS

Year deformity was first noticed: _____

Your age at the time deformity was first noticed: _____

Family history of Scoliosis / Kyphosis: ____ Parent _____Brother/Sister
____Cousin _____None
____Other_____

Previous non-operative treatment: _____None _____Observation Only
_____ Exercise _____Brace ___ Other _____

First operative event: ___/___/_____ Second operative event: ___/___/_____

Current concerns: ____ None ____Feel imbalance
____ New or increased back pain ____Painful rod
____ Unhappy with my appearance

If you have back pain, then where: ___Upper Back ___Mid Back ___Lower Back

Do you feel that your curves have increased or decreased over time: ___Yes ___No

Do you feel you have lost height in the last few years: ___Yes ___No

PATIENT NAME_____

INSURANCE Who is responsible for this account? _____
Relationship to patient:
_____
Birth date: _____ SS#
_____
Insurance company name:
_____
ID# _____ Group # _____
Is patient covered by secondary insurance? (circle) Y/N (If yes, Please Complete the Following)
Insurance company name: _____
ID#: _____ Group #_____
Is patient covered by No-Fault insurance? (circle) Y/N (If yes, Please Complete the Following)
Is patient covered by Workers Compensation? (circle) Y/N (If yes, Please Complete the Following)
Insurance company name: _____ WC Case # _____
ID#_____Case # _____
Case worker's name and phone/fax #: _____
_____

Name and phone number of employer: _____

Occupation: _____

Patient Name _____

I certify that I have insurance with the above company and assign Dr. John C. Herzog all insurance benefits, if any, otherwise payable to me for services rendered. I authorize use of my signature on all insurance submissions.
The offices of Dr. John C. Herzog may use my health care information and may disclose such information to the above named insurance companies and their agents for the purpose of obtaining payment for services and determining insurance benefits and the benefits payable to related services.
MEDICARE AUTHORIZATION:
I request that payment of authorized Medicare benefits be made to Dr. John Herzog for their services. I authorize any holder of medical or other information about me to be released to Medicare or Medicaid services and their agents any information needed to determine these benefits related to services.

_____
Signature of Beneficiary, Guardian or Personal Representative

_____
Print Name of Beneficiary, Guardian or Personal Representative

_____ _____
Date Relationship to Beneficiary

TO THE BEST OF MY KNOWLEDGE, THE ABOVE INFORMATION IS COMPLETE AND CORRECT. I UNDERSTAND THAT IT IS MY RESPONSIBILTY TO INFORM SARATOGA SPINE IF I OR MY MINOR CHILD, EVER HAVE A CHANGE IN ANY OF THE ABOVE INFORMATION

_____ _____
Signature of Patient, Parent or Guardian Date

Patient Name _____

HIPPA PRIVACY STATEMENT

This notice describes how health information about you, if you decided to become a patient of this practice, may be used, disclosed and how you can get access to your health information. This is required by the Privacy Regulations used as a result of the Health Insurance Portability and Accountability Act of 1996 (HIPPA).

Commitment to your privacy:

This practice is dedicated to maintaining the privacy of your health information. We are required by law to maintain the integrity of your health information. We realized these laws are complicated, but we must provide you with the following information:

1. To public health authorities and health oversight agencies that are authorized by law to collect information.
2. Lawsuits and similar proceedings in response to a court order.
3. If required to to so by low enforcement official.
4. When necessary to reduce or prevent a serious threat to your health and safety or of another individual of the public. We will only make disclosures to a person or organization able to help prevent the threat.
5. If you are a member of the US Military forces and if required by the appropriate authorities.
6. To federal officials for intelligence and national security activities authorized by law.
7. For Workers Compensation and similar programs.

Rights regarding your health information:
You can request that our practice communication with you about your health in a particular manner. We will accommodate reasonable requests.

1. You can request a restriction in our use or disclosure of your health information for treatment and payment of health care operations.
2. You have the right to inspect and obtain a copy of your health information that may be used to make decisions about you, including patient medical records and billing records, but not including psychotherapy notes. You must submit your request in writing to your physician's name to 7 Murray Street, Glens Falls, NY 12801. We will respond within ten (10) business days.
3. You may ask to amend your health information if you believe it is incorrect or incomplete, as longs as the information is kept by our practice. To request and amendment, your request must be made in writing and submitted to this office. You must provide us with a reason that supports your request for amendment.
4. Right to a copy of this notice.
5. Right to file a complaint if you believe your privacy rights have been violated, you may file a complaint with our practice or with the Secretary of the Department of Health and Human Services. All complaints must be submitted in writing. You will not be penalized for filing a complaint.
6. Right to provide an authorization for other uses and disclosures. Our practice will obtain your written authorization for uses and disclosure that are not identified by this notice or permitted by applicable law.

This practice shares an electronic medical record database. We do cover each other and your medical records will be accessed when necessary.

Additional person(s) authorized to speak with regarding appointment messages and/or medical information:

Name:_____ Name:_____

Signature_____Date_____

Patient Name _____

Financial Responsibility

You must present a valid insurance card and photo ID such as a valid Driver's license at each visit. It is your responsibility to report any insurance changes to the office as soon as possible. Any information that is inaccurate or received after the date of service may not be billable to the insurance carrier and may become the responsibility of the account guarantor.

All co-payments and past due balances are due at time of check-in. We accept cash, check or credit cards. Absolutely no post-dated checks will be accepted.

If your insurance pays you directly for services rendered by us, you agree to forward the payment to us immediately.

There is a $25 service charge for all returned checks.

There is a $25.00 fee for all visit cancelled with less than 24-hour notice.

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If you have any questions regarding this notice or our health information privacy policies, please contact our office at (518) 743-1010.

Acknowledgment that I have received that above policy:

Name_____
Signature_____Date_____

SARATOGA SPINE

PAIN MEDICATION AND CONTROLLED SUBSTANCE POLICY

Pain medication will be prescribed when necessary, in the immediate post-operative period only. Narcotics are usually prescribed for no longer than 6-8 weeks for a non-deformity surgery such as Laminectomy, Discectomy or Cervical Fusion. Narcotics will usually be prescribed for no longer than 12 weeks for a lumbar spinal fusion.

Most research has shown worse outcomes for patients who are on chronic narcotics. However, some pain specialists still routinely prescribe these medicines for prolonged periods of time. This requires specialized expertise and close follow up by a pain management specialist.

All Prescription refill requests must be made by the patient only and will be processed during normal business hours. We require at least 48 hours notice. Please plan ahead.

Controlled substance medications may not be renewed if stolen or lost until the prescription has expired.

All prescriptions will be electronically transmitted to your pharmacy. When requesting a medication refill, please state the pharmacy you would like the prescription electronically sent to along with your name, date of birth, medication name requested. Your request will be reviewed by our providers and you will get a return phone call notifying you of the status of your request.

I agree to the following and understand that I may be discharged from Saratoga Spine if I break any of these conditions:

I will not attempt to get pain medication from any person or healthcare provider not authorized my Saratoga Spine provider.
I will not use medication in a way that is not prescribed.
I will not exhibit deceitful behavior nor provide false information
I will not make calls after hours to obtain medication.
I will not sell or give my medications to any other person.
I will sign and follow the "Patient Understanding For Opioid Treatment Form"
I will sign and follow the "Patient Informed Consent For Opioid Treatment form"
I am aware that I may be subjected to random testing including but not limited to: urine screening and random pill counts.

I _____, acknowledge that I have read the all the pages and agree to comply with Saratoga Spine's Pain Medication and Controlled Substance Policy. I understand that failure to follow these policies may result in my being discharged from Saratoga Spine and I could risk prosecution as directed by state and federal agencies.

Patient or Guardian Signature_____ Date:_____

Oswestry Low Back Pain Scale

Name_____ Signature_____Date_____

Please rate the severity of your pain by circling a number below:

No pain 0 1 2 3 4 5 6 7 8 9 10 *Unbearable pain*

Please read: This questionnaire has been designed to give the doctor information on how your back pain has affected your ability to manage in everyday life. Please answer every question, and circle only the **one** statement in each section that applies to you. While you may consider that two of the statements in any one section relate to you, please check just the **one** which most closely describes your situation.

Section 1 – Pain Intensity

0. The pain comes and goes and is very mild.
1. The pain is mild and does not vary much.
2. The pain comes and goes and is moderate.
3. The pain is moderate and does not vary much.
4. The pain comes and goes and is severe.
5. The pain is severe and does not vary much.

Section 2 – Personal Care (Washing, Dressing, etc.)

0. I would not have to change my way of washing or dressing in order to avoid pain.
1. I do not normally change my way of washing or dressing even though it causes some pain.
2. Washing and dressing increase the pain but I manage not to change my way of doing it.
3. Washing and dressing increase the pain and I find it necessary to change my way of doing it.
4. Because of the pain I am unable to do some washing and dressing without help.
5. Because of the pain I am unable to do any washing and dressing without help.

Section 3 – Lifting

0. I can lift heavy weights without extra pain.
1. I can lift heavy weights but it gives extra pain.
2. Pain prevents me lifting heavy weights off the floor.
3. Pain prevents me lifting heavy weights off the floor, but I can manage if they are conveniently positioned e.g., on a table.
4. Pain prevents me lifting heavy weights but I can manage light to medium weights if they are conveniently positioned.
5. I can only lift very light weights at most.

Section 4 – Walking

0. I have no pain walking.
1. I have some pain walking but it does not increase with distance.
2. I cannot walk more than 1 mile without increasing pain.
3. I cannot walk more than ½ mile without increasing pain.
4. I cannot walk more than ¼ mile without increasing pain.
5. I cannot walk at all without increasing pain.

Section 5 – Sitting

0. I can sit in any chair as long as I like.
1. I can sit only in my favorite chair as long as I like.
2. Pain prevents me from sitting more than 1 hour.
3. Pain prevents me from sitting more than ½ hour.
4. Pain prevents me from sitting more than 10 minutes.
5. I avoid sitting because it increases pain immediately.

Section 6 – Standing

0. I can stand as long as I want without pain.
1. I have some pain on standing but it does not increase with time.
2. I cannot stand for longer than 1 hour without increasing pain.
3. I cannot stand for longer than ½ hour without increasing pain.
4. I cannot stand for longer than 10 minutes without increasing pain.
5. I avoid standing because it increases the pain immediately.

Section 7 – Sleeping

0. I get no pain in bed.
1. I get pain in bed but it does not prevent me from sleeping well.
2. Because of pain my normal nights sleep is reduced by less than one-quarter.
3. Because of pain my normal nights sleep is reduced by less than one-half.
4. Because of pain my normal nights sleep is reduced by less than three-quarters.
5. Pain prevents me from sleeping at all.

Section 8 – Social Life

0. My social life is normal and gives me no pain.
1. My social life is normal but it increases the degree of pain.
2. Pain has no significant effect on my social life apart from limiting my more energetic interests, e.g., dancing, etc.
3. Pain has restricted my social life and I do not go out very often.
4. Pain has restricted my social life to my home.
5. I have hardly any social life because of the pain.

Section 9 – Traveling

0. I get no pain when traveling.
1. I get some pain when traveling but none of my usual forms of travel make it any worse.
2. I get extra pain while traveling but it does not compel me to seek alternative forms of travel.
3. I get extra pain while traveling which compels to seek alternative forms of travel.
4. Pain restricts me to short necessary journeys under ½ hour.
5. Pain restricts all forms of travel.

Section 10 – Changing Degree of Pain

0. My pain is rapidly getting better.
1. My pain fluctuates but is definitely getting better.
2. My pain seems to be getting better but improvement is slow.
3. My pain is neither getting better or worse.
4. My pain is gradually worsening.
5. My pain is rapidly worsening.

NECK DISABILITY INDEX

Name_____Signature_____Date_____

Please rate the severity of your pain by circling a number below:

No pain 0 1 2 3 4 5 6 7 8 9 10 *Unbearable pain*

Please read: This questionnaire has been designed to give the doctor information on how your neck pain has affected your ability to manage in everyday life. Please answer every question, and circle only the **one** statement in each section that applies to you. While you may consider that two of the statements in any one section relate to you, please check just the **one** which most closely describes your situation.

Section 1 – Pain Intensity

0. I have no pain at the moment.
1. The pain is mild at the moment.
2. The pain comes and goes and is moderate.
3. The pain is moderate and does not vary much.
4. The pain comes and goes and is severe.
5. The pain is severe and does not vary much.

Section 2 – Personal Care (Washing, Dressing, etc.)

0. I can look after myself without causing extra pain.
1. I can look after myself normally but it causes extra pain.
2. It is painful to look after myself and I am slow and careful.
3. I need some help, but manage most of my personal care.
4. I need help every day in most aspects of self-care.
5. I do not get dressed; I wash with difficulty and stay in bed.

Section 3 – Lifting

0. I can lift heavy weights without extra pain.
1. I can lift heavy weights but it causes extra pain.
2. Pain prevents me lifting heavy weights off the floor, but I can if they are conveniently positioned, e.g. on the table.
3. Pain prevents me from lifting heavy weights, but I can manage light to medium weights if they are conveniently positioned.
4. I can lift very light weights.
5. I cannot lift or carry anything at all.

Section 4 – Reading

0. I can read as much as I want to with no pain in my neck.
1. I can read as much as I want with slight pain in my neck.
2. I can read as much as I want with moderate pain in my neck.
3. I cannot read as much as I want because of moderate pain in my neck.
4. I cannot read as much as I want because of severe pain in my neck.
5. I cannot read at all.

Section 5 – Headache

0. I have no headache at all.
1. I have slight headaches which come infrequently.
2. I have moderate headaches which come infrequently
3. I have moderate headaches which come frequently.
4. I have severe headaches which come frequently.
5. I have headaches most of the time.

Section 6 – Concentration

0. I can concentrate fully when I want to with no difficulty.
1. I can concentrate fully when I want to with slight difficulty.
2. I have a fair degree of difficulty in concentrating when I want to.
3. I have a lot of difficulty in concentrating when I want to.
4. I have a great deal of difficulty in concentrating when I want to.
5. I cannot concentrate at all.

Section 7 – Work

0. I can do as much work as I want to.
1. I can only do my usual work, but no more.
2. I can do most of my usual work, but no more.
3. I cannot do my usual work.
4. I can hardly do any work at all.
5. I cannot do any work at all.

Section 8 – Driving

0. I can drive my car without neck pain.
1. I can drive my car as long as I want with slight pain in my neck.
2. I can drive my car as long as I want with moderate pain in my neck.
3. I cannot drive my car as long as I want because of moderate pain in my neck.
4. I can hardly drive my car at all because of severe pain in my neck.
5. I cannot drive my car at all.

Section 9 – Sleeping

0. I have no trouble sleeping.
1. My sleep is slightly disturbed (less than 1 hour sleepless).
2. My sleep is mildly disturbed (1-2 hours sleepless).
3. My sleep is moderately disturbed (2-3 hours sleepless).
4. My sleep is greatly disturbed (3-5 hours sleepless).
5. My sleep is completely disturbed (5-7 hours sleepless).

Section 10 - Recreation

0. I am able to engage in all recreational activities with no pain in my neck.
1. I am able to engage in all recreational activities with some pain in my neck.
2. I am able to engage in most, but not all recreational activities because of pain in my neck.
3. I am able to engage in a few of my usual recreational activities because of my neck pain.
4. I can hardly do any recreational activities because of pain in my neck.
5. I cannot do any recreational activities at all.